



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

September 18, 2019

Mr. Austin Evers  
American Oversight  
1030 15th Street, NW, B255  
Washington, DC 20005

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **19-03042-FOIA**

Dear Mr. Evers:

This letter is in response to your request, dated and received in this office on September 4, 2019, for "all email communications (including emails, email attachments, and calendar invitations) regardless of subject matter between (a) any of the employees listed in Column A below and (b) any of the individuals or entities listed in Column B below" from March 9, 2017 to March 30, 2019. This letter also refers to our letter dated September 10, 2019, in which we granted your fee waiver request.

Column A: SEC Employees	Column B:
<ul style="list-style-type: none"><li>• Chairman Jay Clayton</li><li>• Anyone communicating on behalf of Chairman Clayton including executive assistants, personal assistants, or schedulers</li><li>• Chief of Staff Lucas Moskowitz</li><li>• Any person serving in the capacity of White House Liaison</li><li>• Commissioner Robert J. Jackson Jr.</li><li>• Commissioner Hester Pierce</li><li>• Commissioner Elad L. Roisman</li><li>• Commissioner Allison Herren Lee</li></ul>	<ul style="list-style-type: none"><li>• NCPS (@northcapital.com)</li><li>• Former White House Deputy Assistant to the President Strategist Ira Greenstein (including Mr. Greenstein's former official white house email address as well as: <a href="mailto:IKegreen@yahoo.com">IKegreen@yahoo.com</a>, <a href="mailto:IKegreen13@yahoo.com">IKegreen13@yahoo.com</a>, <a href="mailto:IKegreen@gmail.com">IKegreen@gmail.com</a>, <a href="mailto:IKegreen@aol.com">IKegreen@aol.com</a>, <a href="mailto:IKegreen13@aol.com">IKegreen13@aol.com</a>, <a href="mailto:IKegreen13@comcast.net">IKegreen13@comcast.net</a>, <a href="mailto:IKegreen@comcast.net">IKegreen@comcast.net</a>, <a href="mailto:IKegreen@stny.rr.com">IKegreen@stny.rr.com</a>, and <a href="mailto:IKegreen@twcnny.rr.com">IKegreen@twcnny.rr.com</a>)</li><li>• IDT Corporation (@idt.net)</li><li>• Genie Energy (@genie.com)</li><li>• NanoVibronix, Inc. (@nanovibronix.com)</li><li>• Global Risk Advisors LLC (@globalriskadvisors.com)</li><li>• Enerpulse Technologies @enerpulse.com</li></ul>

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This response is limited to request number 19-03042-FOIA regarding Commissioner Allison Herren Lee.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request. For your information, Commissioner Lee was appointed to the SEC and sworn into office on July 8, 2019.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

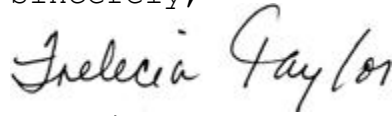
If you have any questions, please contact me at [taylorf@sec.gov](mailto:taylorf@sec.gov) or (202) 551-8349. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You may also contact the

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SEC's FOIA Public Service Center at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,

A handwritten signature in black ink that reads "Felecia Taylor". The signature is written in a cursive, flowing style.

Felecia Taylor  
FOIA Lead Research Specialist

Enclosure

## ADDENDUM

For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting <https://www.sec.gov/oso/help/foia-contact.html>.

SEC FOIA Public Liaisons are supervisory staff within the Office of FOIA Services. They can assist FOIA requesters with general questions or concerns about the SEC's FOIA process or about the processing of their specific request.

In addition, you may also contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. OGIS can be reached at 1-877-684-6448 or via e-mail at [ogis@nara.gov](mailto:ogis@nara.gov). Information concerning services offered by OGIS can be found at their website at [Archives.gov](https://www.archives.gov). Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.



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Office of FOIA Services

October 17, 2019

Mr. Austin Evers  
American Oversight  
1030 15th Street NW B-255  
Washington, DC 20005

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **19-03038-FOIA**

Dear Mr. Evers:

This letter is in response to your request, dated and received in this office on September 4, 2019, for "all email communications (including emails, email attachments, and calendar invitations) regardless of subject matter between (a) any of the employees listed in Column A below and (b) any of the individuals or entities listed in Column B below" from March 9, 2017 to March 30, 2019.

Column A: SEC Employees	Column B:
<ul style="list-style-type: none"> <li>Chairman Jay Clayton</li> <li>Anyone communicating on behalf of Chairman Clayton including executive assistants, personal assistants, or schedulers</li> <li>Chief of Staff Lucas Moskowitz</li> <li>Any person serving in the capacity of White House Liaison</li> <li>Commissioner Robert J. Jackson Jr.</li> <li>Commissioner Hester Peirce</li> <li>Commissioner Elad L. Roisman</li> <li>Commissioner Allison Herren Lee</li> </ul>	<ul style="list-style-type: none"> <li>NCPS (@northcapital.com)</li> <li>Former White House Deputy Assistant to the President Strategist Ira Greenstein (including Mr. Greenstein's former official white house email address as well as: <a href="mailto:IKegreen@yahoo.com">IKegreen@yahoo.com</a>, <a href="mailto:IKegreen13@yahoo.com">IKegreen13@yahoo.com</a>, <a href="mailto:IKegreen@gmail.com">IKegreen@gmail.com</a>, <a href="mailto:IKegreen@aol.com">IKegreen@aol.com</a>, <a href="mailto:IKegreen13@aol.com">IKegreen13@aol.com</a>, <a href="mailto:IKegreen13@comcast.net">IKegreen13@comcast.net</a>, <a href="mailto:IKegreen@comcast.net">IKegreen@comcast.net</a>, <a href="mailto:IKegreen@stny.rr.com">IKegreen@stny.rr.com</a>, and <a href="mailto:IKegreen@twcnny.rr.com">IKegreen@twcnny.rr.com</a>)</li> <li>IDT Corporation (@idt.net)</li> <li>Genie Energy (@genie.com)</li> <li>NanoVibronix, Inc. (@nanovibronix.com)</li> <li>Global Risk Advisors LLC (@globalriskadvisors.com)</li> <li>Enerpulse Technologies @enerpulse.com</li> </ul>

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Since your request contains multiple subjects, we divided it into seven separate requests as follows:

- Chairman Jay Clayton and anyone communication on behalf of Chairman Clayton including executive assistants, personal assistants, or schedulers [19-03034-FOIA];
- Chief of Staff Lucas Moskowitz [19-03035-FOIA];
- Any person serving in the capacity of White House Liaison [19-03036];
- Commissioner Robert J. Jackson Jr [19-03038];
- Commissioner Hester Pierce [19-03039-FOIA];

- Commissioner Elad L. Roisman [19-03040-FOIA]; and
- Commissioner Allison Herren Lee [19-03042-FOIA].

This letter only responds to Request No. 19-03038-FOIA, regarding Commissioner Robert J. Jackson.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

Mr. Austin Evers  
October 17, 2019  
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If you have any questions, please contact me at [osbornes@sec.gov](mailto:osbornes@sec.gov) or (202) 551-8371. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You may also contact the SEC's FOIA Public Service Center at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,

*Sonja Osborne*

Sonja Osborne  
FOIA Lead Research Specialist

Enclosure



## ADDENDUM

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Office of FOIA Services

October 21, 2019

Mr. Austin Evers  
American Oversight  
1030 15th Street, NW, B-255  
District of Columbia, DC 20005

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **19-03039-FOIA**

Dear Mr. Evers:

This letter is in response to your request, dated and received in this office on September 4, 2019, for "all email communications (including emails, email attachments, and calendar invitations) regardless of subject matter between (a) any of the employees listed in Column A below and (b) any of the individuals or entities listed in Column B below" from March 9, 2017 to March 30, 2019. This letter also refers to our letter dated September 10, 2019, in which we granted your fee waiver request.

Column A: SEC Employees	Column B:
<ul style="list-style-type: none"><li>• Chairman Jay Clayton</li><li>• Anyone communicating on behalf of Chairman Clayton including executive assistants, personal assistants, or schedulers</li><li>• Chief of Staff Lucas Moskowitz</li><li>• Any person serving in the capacity of White House Liaison</li><li>• Commissioner Robert J. Jackson Jr.</li><li>• Commissioner Hester Peirce</li><li>• Commissioner Elad L. Roisman</li><li>• Commissioner Allison Herren Lee</li></ul>	<ul style="list-style-type: none"><li>• NCPS (@northcapital.com)</li><li>• Former White House Deputy Assistant to the President Strategist Ira Greenstein (including Mr. Greenstein's former official white house email address as well as: <a href="mailto:IKegreen@yahoo.com">IKegreen@yahoo.com</a>, <a href="mailto:IKegreen13@yahoo.com">IKegreen13@yahoo.com</a>, <a href="mailto:IKegreen@gmail.com">IKegreen@gmail.com</a>, <a href="mailto:IKegreen@aol.com">IKegreen@aol.com</a>, <a href="mailto:IKegreen13@aol.com">IKegreen13@aol.com</a>, <a href="mailto:IKegreen13@comcast.net">IKegreen13@comcast.net</a>, <a href="mailto:IKegreen@comcast.net">IKegreen@comcast.net</a>, <a href="mailto:IKegreen@stny.rr.com">IKegreen@stny.rr.com</a>, and <a href="mailto:IKegreen@twcny.rr.com">IKegreen@twcny.rr.com</a>)</li><li>• IDT Corporation (@idt.net)</li><li>• Genie Energy (@genie.com)</li><li>• NanoVibronix, Inc. (@nanovibronix.com)</li><li>• Global Risk Advisors LLC (@globalriskadvisors.com)</li><li>• Enerpulse Technologies @enerpulse.com</li></ul>

Since your request contains multiple subjects, we divided it into seven separate requests as follows:

- Chairman Jay Clayton and anyone communication on behalf of Chairman Clayton including executive assistants, personal assistants, or schedulers [19-03034-FOIA];
- Chief of Staff Lucas Moskowitz [19-03035-FOIA];
- Any person serving in the capacity of White House Liaison [19-03036];
- Commissioner Robert J. Jackson Jr [19-03038];
- Commissioner Hester Peirce [19-03039-FOIA];
- Commissioner Elad L. Roisman [19-03040-FOIA]; and
- Commissioner Allison Herren Lee [19-03042-FOIA].

This response is limited to request number 19-03039-FOIA regarding Commissioner Hester Peirce.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

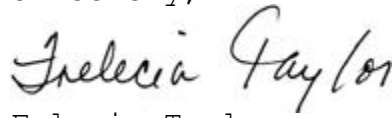
You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

Mr. Austin Evers  
October 21, 2019  
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If you have any questions, please contact me at [taylorf@sec.gov](mailto:taylorf@sec.gov) or (202) 551-8349. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You may also contact the SEC's FOIA Public Service Center at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,

A handwritten signature in black ink that reads "Felecia Taylor". The script is cursive and fluid, with the first name and last name clearly distinguishable.

Felecia Taylor  
FOIA Lead Research Specialist

Enclosure

## ADDENDUM

For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting <https://www.sec.gov/oso/help/foia-contact.html>.

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Office of FOIA Services

October 22, 2019

Mr. Austin Evers  
American Oversight  
1030 15th Street, NW, B-255  
Washington, DC 20005

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **19-03040-FOIA**

Dear Mr. Evers:

This letter is in response to your request, dated and received in this office on September 4, 2019, for "all email communications (including emails, email attachments, and calendar invitations) regardless of subject matter between (a) any of the employees listed in Column A below and (b) any of the individuals or entities listed in Column B below" from March 9, 2017 to March 30, 2019. This letter also refers to our letter dated September 10, 2019, in which we granted your fee waiver request.

Column A: SEC Employees	Column B:
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Since your request contains multiple subjects, we divided it into seven separate requests as follows:

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- Any person serving in the capacity of White House Liaison [19-03036];
- Commissioner Robert J. Jackson Jr [19-03038];
- Commissioner Hester Peirce [19-03039-FOIA];
- Commissioner Elad L. Roisman [19-03040-FOIA]; and
- Commissioner Allison Herren Lee [19-03042-FOIA].

This response is limited to request number 19-03040-FOIA regarding Commissioner Elad Roisman.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records, but did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

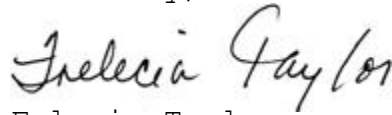
You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

Mr. Austin Evers  
October 22, 2019  
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If you have any questions, please contact me at [taylorf@sec.gov](mailto:taylorf@sec.gov) or (202) 551-8349. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You may also contact the SEC's FOIA Public Service Center at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,

A handwritten signature in black ink that reads "Felecia Taylor". The signature is written in a cursive, flowing style.

Felecia Taylor  
FOIA Lead Research Specialist

Enclosure



## ADDENDUM

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